

The combined effects of confining pressure, temperature, and mineralogical composition on the dynamic elastic constants of rock

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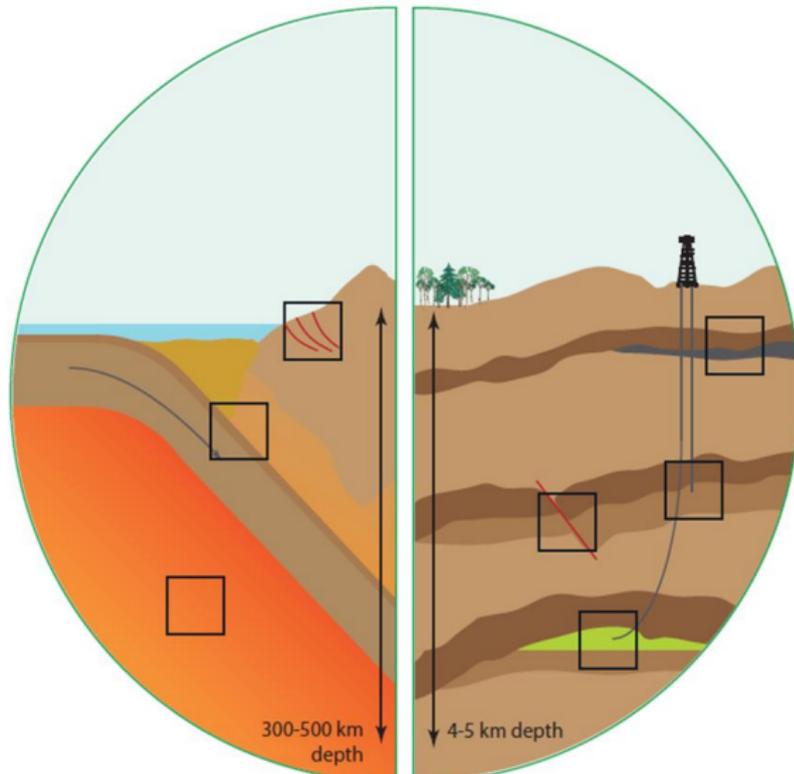
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# Outlines

- 1 Motivation
- 2 Introduction
- 3 Measurement Method
- 4 Results
- 5 Numerical Modelling
- 6 Summary

# Motivation



<https://svs.gsfc.nasa.gov/30988/>

- Geothermal exploration
- Oil/gas recovery
- CO2 storage
- Radioactive waste disposal
- Groundwater contamination
- Deep earth and solar system
- Acid mining

# Main objectives of the talk

## Part I

- Measurements of P- and S-wave velocities and S-wave splitting in 3 orthogonal directions
- Effect of anisotropy on tensors and petrophysical properties of rocks
- To investigate the lithological control and the effect of pressure and temperature on seismic properties of crustal rocks
- To analyse the effects of pressure, temperature and mineralogical on elastic constants of rocks

## Part II

- 3D velocity calculations based on the crystallographic preferred orientation (CPO) of major minerals





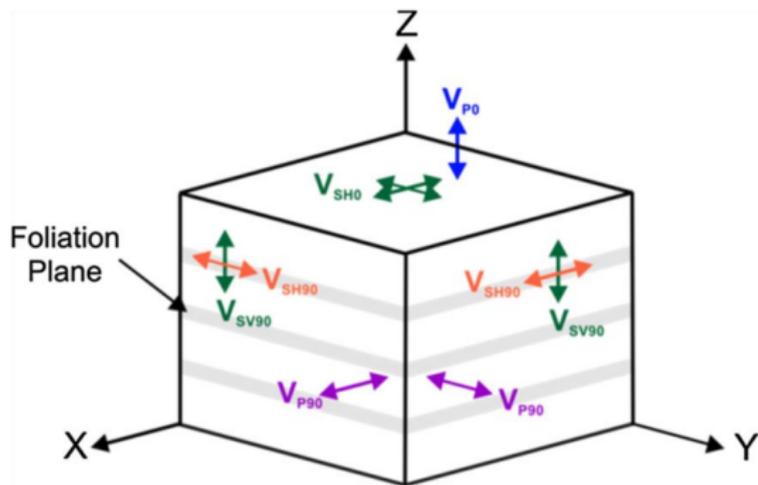
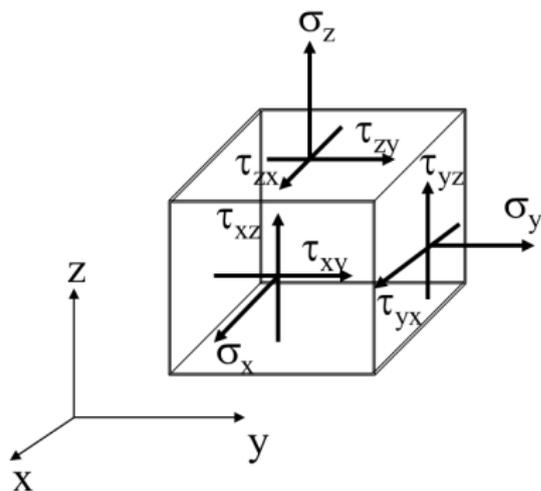
# Physical properties

## Physical properties of rocks

- Physical properties from microstructural information (crystal orientation, volume fraction, grain shape etc.) are important for rocks
- In-situ state at high temperature and pressure for samples where the microstructure has been changed by subsequent chemical alteration (e.g. the transformation olivine to serpentine) or mechanically induced changes (e.g. fractures created by decompression)
- The effect of phase change on the physical properties can also be modeled using these methods.
- Anisotropic properties as experimental measurements in many directions necessary to fully characterize anisotropy is currently feasible for the majority of the temperature and pressure conditions



# 3D Elasticity



Symmetry of a transversely isotropic medium where elastic properties are rotationally symmetric about the z-axis. Arrows of the same color indicate waves propagating at the same speed while their directions indicate the wave's polarization

# Elasticity tensor

- In general 3-D case, there are six components of stress and corresponding six components of strain.
- In highly anisotropic materials, any one component of stress can cause strain in all six components
- For the generalized case, Hooke's law may be expressed as:

$$\sigma_i = C_{ij}\varepsilon_j$$

$$\varepsilon_i = S_{ij}\sigma_j$$

where,

C → Stiffness (or Elastic constant)

S → Compliance

# 3D Elasticity

- In matrix format, the stress-strain relation showing the 36 (6 × 6) independent components of stiffness can be represented as:

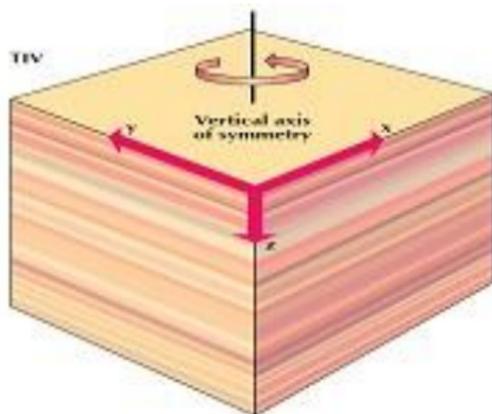
$$\begin{pmatrix} \sigma_1 \\ \sigma_2 \\ \sigma_3 \\ \sigma_4 \\ \sigma_5 \\ \sigma_6 \end{pmatrix} = \begin{pmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ C_{21} & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ C_{31} & C_{32} & C_{33} & C_{34} & C_{35} & C_{36} \\ C_{41} & C_{42} & C_{43} & C_{44} & C_{45} & C_{46} \\ C_{51} & C_{52} & C_{53} & C_{54} & C_{55} & C_{56} \\ C_{61} & C_{62} & C_{63} & C_{64} & C_{65} & C_{66} \end{pmatrix} \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \varepsilon_3 \\ \varepsilon_4 \\ \varepsilon_5 \\ \varepsilon_6 \end{pmatrix}$$

- or in short notation, we can write

$$\sigma_i = C_{ij}\varepsilon_j$$

$$\varepsilon_i = {}_{ij} \sigma_j$$

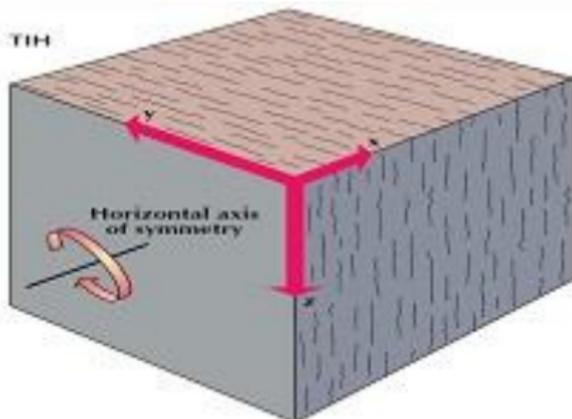
# VTI (Vertically Transverse Isotropy)



Schmitt (2015)

$$\begin{pmatrix} C_{11} & C_{11} - 2C_{66} & C_{13} & 0 & 0 & 0 \\ C_{11} - 2C_{66} & C_{11} & C_{13} & 0 & 0 & 0 \\ 0 & 0 & C_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{66} \end{pmatrix}$$

# HTI (Horizontally Transverse Isotropy)



Schmitt (2015)



$$\begin{pmatrix} C_{11} & C_{13} & C_{13} & 0 & 0 & 0 \\ C_{13} & C_{33} & C_{13} - 2C_{44} & 0 & 0 & 0 \\ C_{13} & C_{13} - 2C_{44} & C_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{66} \end{pmatrix}$$



# $C_{ij}$ Effect of Crystal Symmetry

## Seismic anisotropy symmetries

Triclinic (21 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ - & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ - & - & C_{33} & C_{34} & C_{35} & C_{36} \\ - & - & - & C_{44} & C_{45} & C_{46} \\ - & - & - & - & C_{55} & C_{56} \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Monoclinic (13 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & 0 & C_{15} & 0 \\ - & C_{22} & C_{23} & 0 & C_{25} & 0 \\ - & - & C_{33} & 0 & C_{35} & 0 \\ - & - & - & C_{44} & 0 & C_{46} \\ - & - & - & - & C_{55} & 0 \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Orthorhombic (9 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ - & C_{22} & C_{23} & 0 & 0 & 0 \\ - & - & C_{33} & 0 & 0 & 0 \\ - & - & - & C_{44} & 0 & 0 \\ - & - & - & - & C_{55} & 0 \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Trigonal (7 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & 0 \\ - & C_{11} & C_{13} & -C_{14} & -C_{15} & 0 \\ - & - & C_{33} & 0 & 0 & 0 \\ - & - & - & C_{44} & 0 & -C_{15} \\ - & - & - & - & C_{44} & C_{14} \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Trigonal (6 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & C_{14} & 0 & 0 \\ - & C_{11} & C_{13} & -C_{14} & 0 & 0 \\ - & - & C_{33} & 0 & 0 & 0 \\ - & - & - & C_{44} & 0 & 0 \\ - & - & - & - & C_{44} & 0 \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Hexagonal (5 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ - & C_{11} & C_{13} & 0 & 0 & 0 \\ - & - & C_{33} & 0 & 0 & 0 \\ - & - & - & C_{44} & 0 & 0 \\ - & - & - & - & C_{44} & 0 \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Tetragonal (7 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & C_{16} \\ - & C_{11} & C_{13} & 0 & 0 & -C_{16} \\ - & - & C_{33} & 0 & 0 & 0 \\ - & - & - & C_{44} & 0 & 0 \\ - & - & - & - & C_{44} & 0 \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Tetragonal (6 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ - & C_{11} & C_{13} & 0 & 0 & 0 \\ - & - & C_{33} & 0 & 0 & 0 \\ - & - & - & C_{44} & 0 & 0 \\ - & - & - & - & C_{44} & 0 \\ - & - & - & - & - & C_{66} \end{pmatrix}$$

Cubic (3 constants)

$$\begin{pmatrix} C_{11} & C_{12} & C_{12} & 0 & 0 & 0 \\ - & C_{11} & C_{12} & 0 & 0 & 0 \\ - & - & C_{11} & 0 & 0 & 0 \\ - & - & - & C_{44} & 0 & 0 \\ - & - & - & - & C_{44} & 0 \\ - & - & - & - & - & C_{44} \end{pmatrix}$$

# Velocity and elastic constants

- Elastic constants

$$C_{11} = \rho v_{xx}^2, C_{22} = \rho v_{yy}^2, C_{33} = \rho v_{zz}^2$$

$$C_{44} = \rho v_{yz}^2 = \rho v_{zy}^2, C_{55} = \rho v_{xz}^2 = \rho v_{zx}^2, C_{66} = \rho v_{xy}^2 = \rho v_{yx}^2$$

$$C_{12} = [C_{66}^2 - C_{11}C_{22} + C_{66}(C_{11} + C_{22}) + 4\rho^2 v_{66}^4(45^\circ) - 2\rho v_{66}^2(45^\circ)(C_{11} + C_{22} + 2C_{66})]^{0.5} - C_{66}$$

- $C_{13}$  and  $C_{23}$  calculate in similar way
- Thomsen's anisotropic parameters

$$\varepsilon = \frac{C_{11} - C_{33}}{2C_{33}}, \gamma = \frac{C_{66} - C_{44}}{2C_{44}}$$

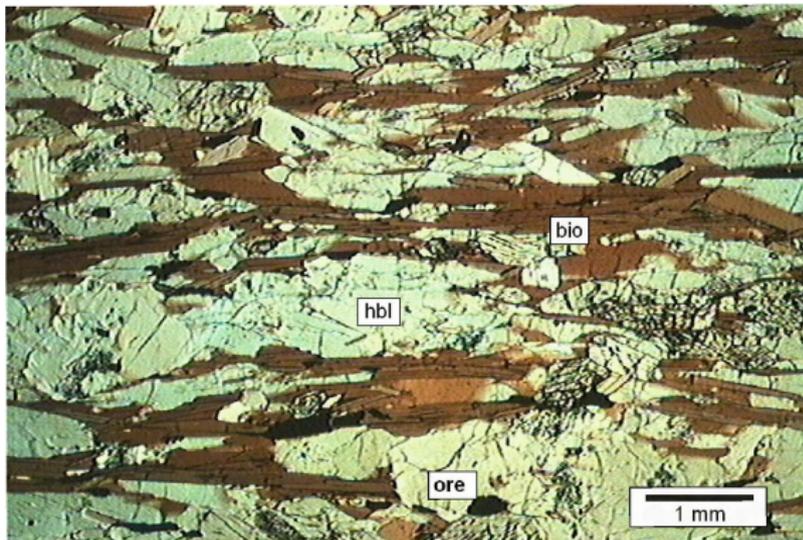
$$\delta = \frac{(C_{13} + C_{44}) - (C_{33} - C_{44})}{2C_{33}(C_{33} - C_{44})}$$

# Coefficients of the symmetric matrix of the fourth-order elasticity tensor

$C_{11} = c_{1111} = c_{xxxx}$	$C_{12} = c_{1122} = c_{xyxy}$	$C_{13} = c_{1133} = c_{xxzz}$	$C_{14} = c_{1123} = c_{xyyz}$	$C_{15} = c_{1131} = c_{xvzx}$	$C_{16} = c_{1112} = c_{xxxy}$
	$C_{22} = c_{2222} = c_{yyyy}$	$C_{23} = c_{2233} = c_{yyzz}$	$C_{24} = c_{2223} = c_{yyyz}$	$C_{25} = c_{2231} = c_{yyzx}$	$C_{26} = c_{2212} = c_{yyxy}$
		$C_{33} = c_{3333} = c_{zzzz}$	$C_{34} = c_{3323} = c_{zzyz}$	$C_{35} = c_{3331} = c_{zzzx}$	$C_{36} = c_{3312} = c_{zzxy}$
			$C_{44} = c_{2323} = c_{yzyz}$	$C_{45} = c_{2331} = c_{yzzx}$	$C_{46} = c_{2312} = c_{yzyx}$
				$C_{55} = c_{3131} = c_{yxzx}$	$C_{56} = c_{3112} = c_{zxxy}$
					$C_{66} = c_{1212} = c_{xyxy}$

*symmetric matrix*

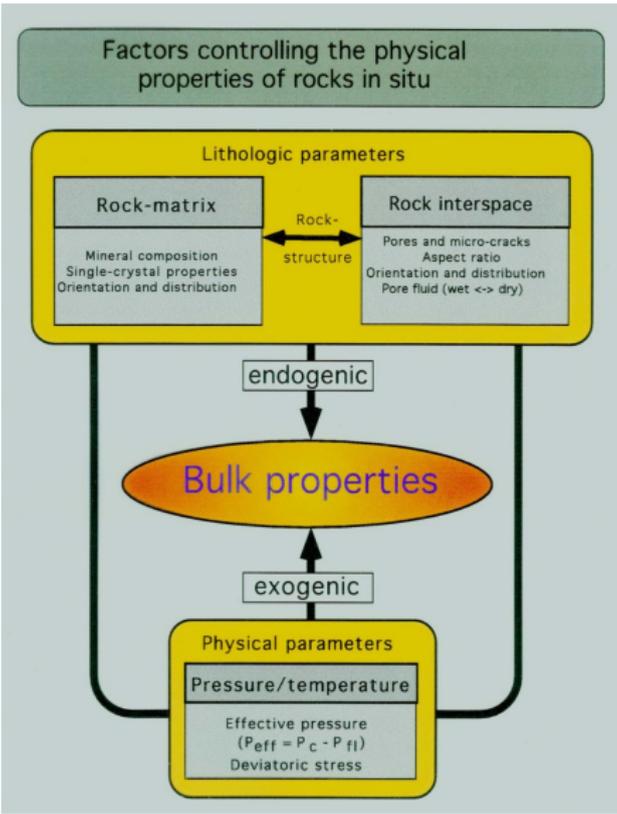
# Characteristics of crustal and mantle rocks



Thin section: Bio-Hbl-gneiss SD-3 36058  
Kola-super deep, depth: 9571 m

- Polyphase materials
- Low-symmetry minerals with different chemical and physical properties
- Different grain shapes and grain sizes
- Cracks and pores, sometimes filled with fluids or melts
- Anisotropy of the fabric

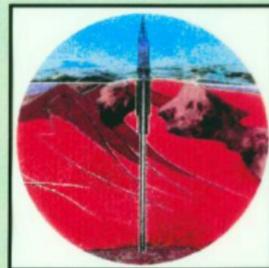
# Factors controlling



# Key questions

## Key questions with respect to the in situ properties

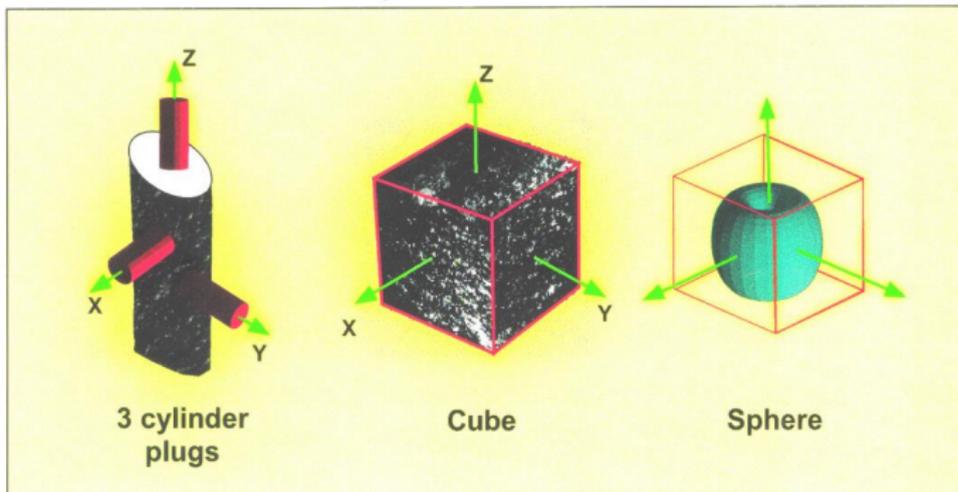
- ☆ the role of lithology (mineral composition of the rocks)
- ☆ the role of microstructural and textural characteristics (rock fabric + microcracks)
- ☆ the role of intergranular fluids
- ☆ the role of the actual stress field



# Geometries

- Experimental determination of elastic wave velocities and anisotropy

- Commonly used sample geometries -



- Jacketed cylindrical samples in internally heated fluid or gas apparatus. Measurement of  $V_p$  and  $V_s$  in one direction,  $\Rightarrow$  truly hydrostatic pressure

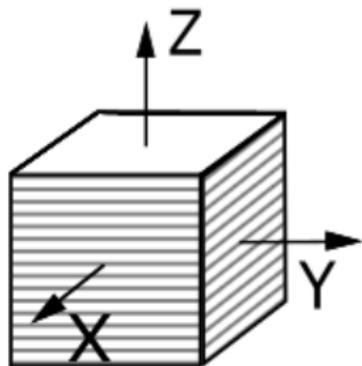
- Unjacketed cubes in an externally heated multi-anvil apparatus. Simultaneous measurements of  $V_p$  and  $V_s$  in X, Y, Z. Volume (density) change  $\Rightarrow$  Near-hydrostatic pressure.

- Jacketed spherical samples in a fluid-filled pressure vessel. Measurement of the spatial distribution of  $V_p$  at room temperature,  $\Rightarrow$  truly hydrostatic pressure

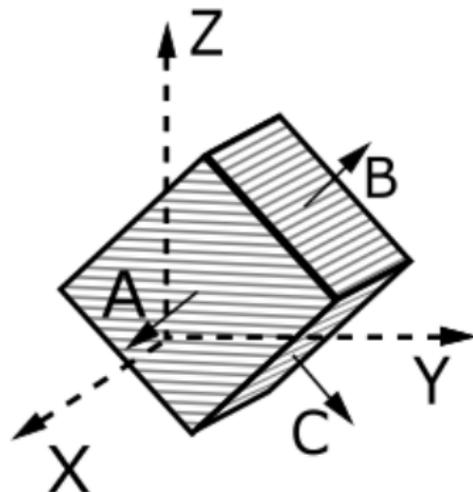


# Sample and sample reference system

a) Sample cut along foliation



b) Sample cut 45° to foliation

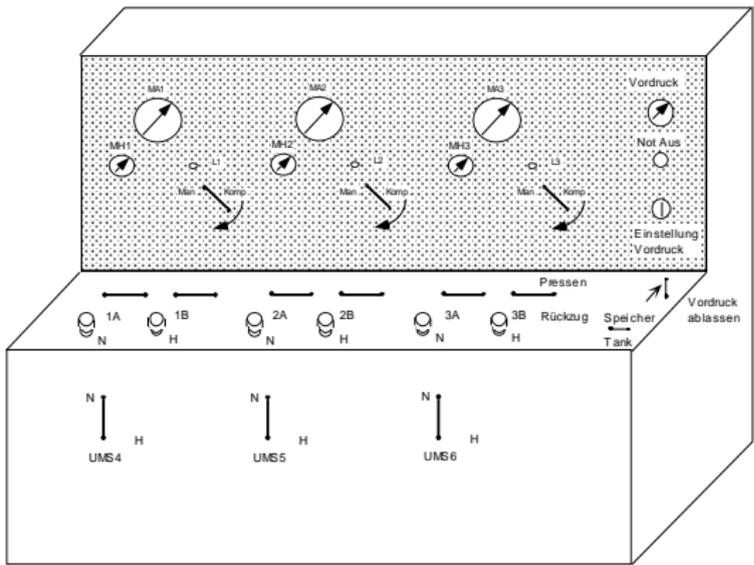


Foliation (XY- plane)

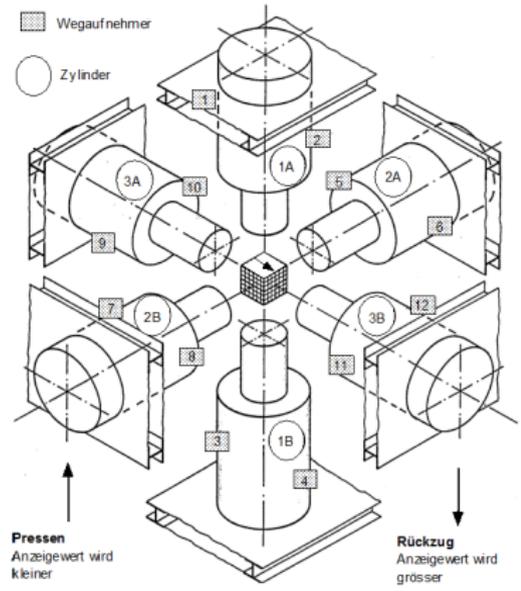


Directions of el. seismic waves

# Control and Ram system

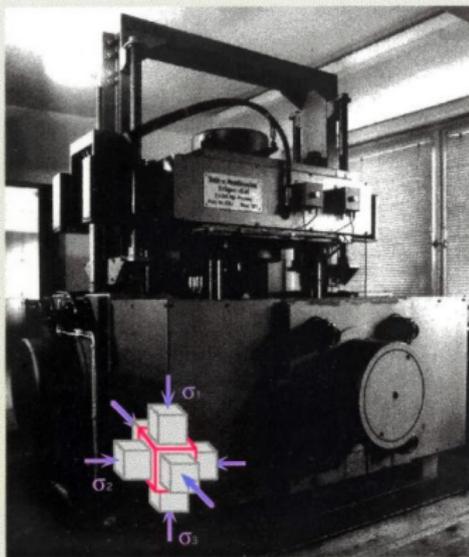


Loading control system



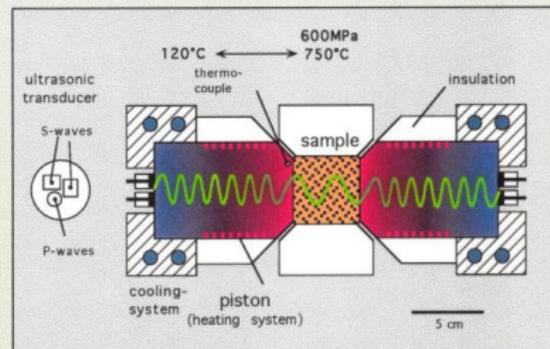
Loading ram system

# Multi-anvil pressure apparatus



6 hydraulic rams (2000 kN)  
edge length of the sample cube: 43 mm;  
max. 750°C, 600 MPa (confining pressure)

## Multi-anvil pressure apparatus

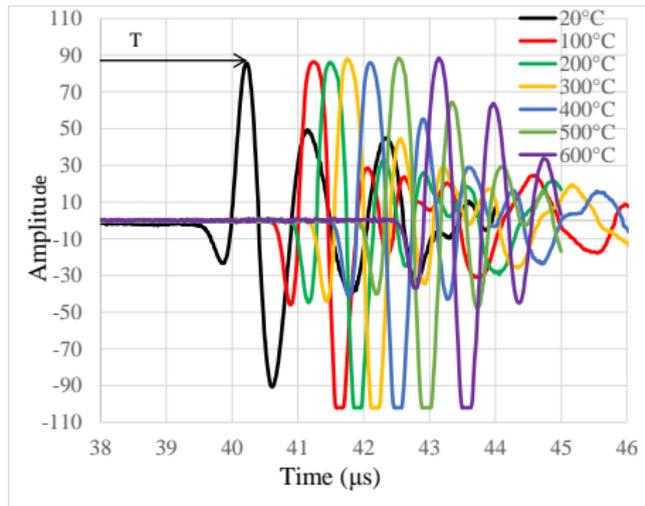
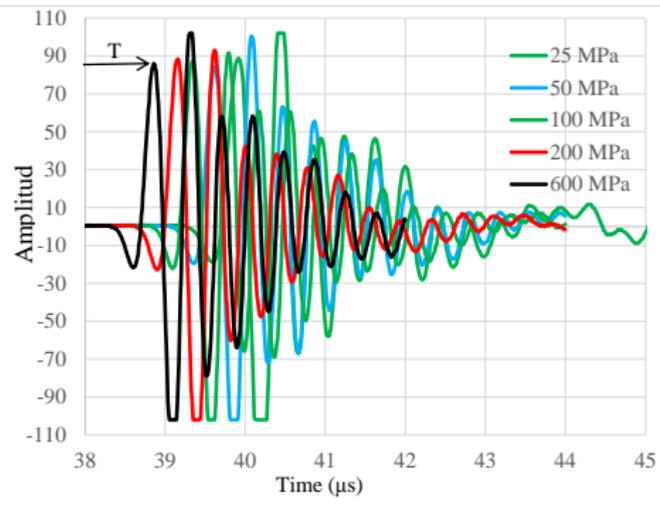


Piston - sample - transducer - assembly

Kern et al., (1997)

# Process monitoring

- Effect of pressure and temperature on acoustic emissions



- Velocity calculation

$$\text{Velocity} = \frac{l_{\text{cube}}(\sigma, \text{Temp.})}{T}; l_{\text{cube}} = \text{length of sample}$$

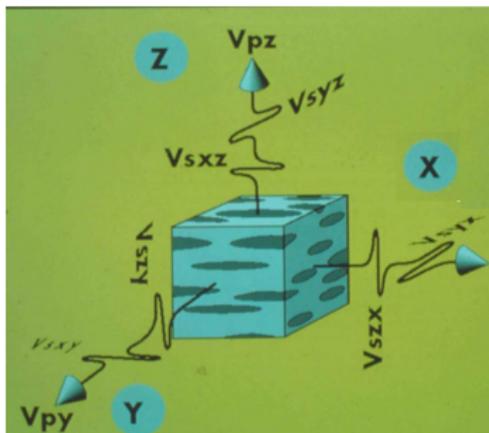




# P-wave: Effect of pressure and temperature (rock sample)

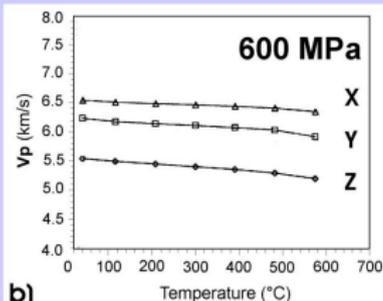
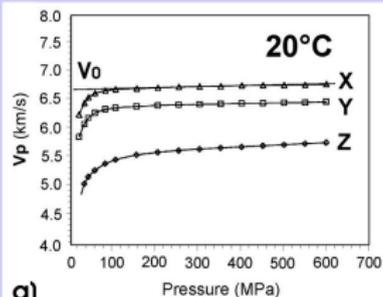
- Directional dependence (anisotropy) of P-wave velocities with respect to the structural frame

## Sample reference system



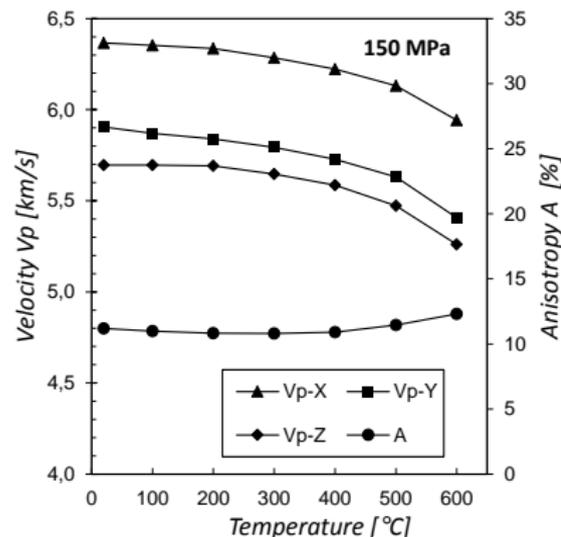
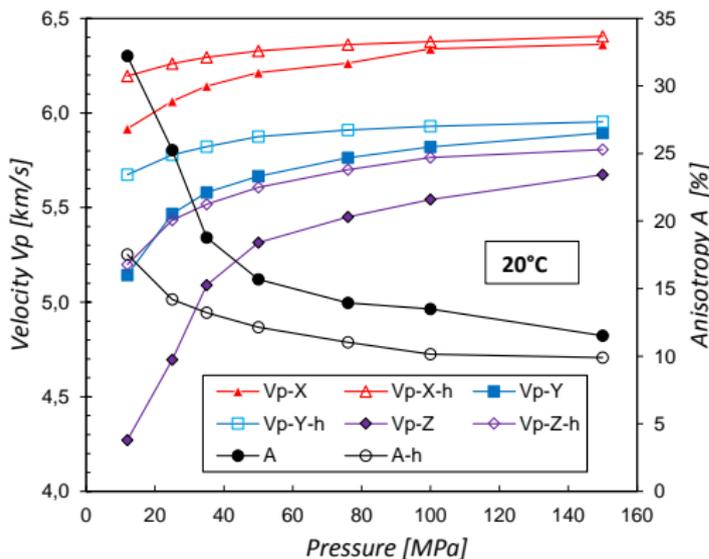
x = parallel to lineation and foliation,  
y = normal to lineation, parallel to foliation  
z = normal to foliation

OUTOKUMPU R 2500  
Biotite gneiss  
Depth of recovery: 676.10m



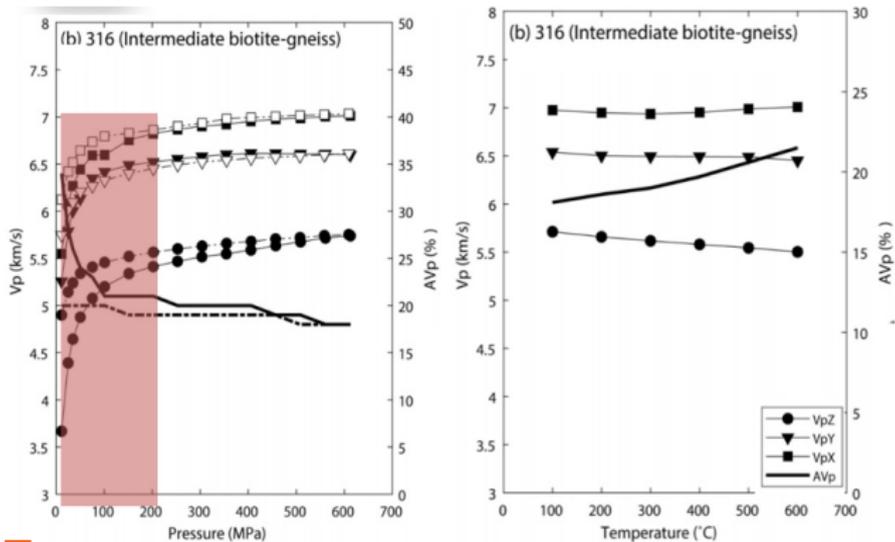


# Effect of forward and backward measurement



- Increase of confining pressure gives rise to a non-linear increase of P- and S-wave velocities due to progressive closure of microcracks.
- The opening of new cracks or widening of existing cracks causes decreasing P- and S-wave velocities.

# Pressure and temperature effect on microcracks



Bazargan et al., (2022)

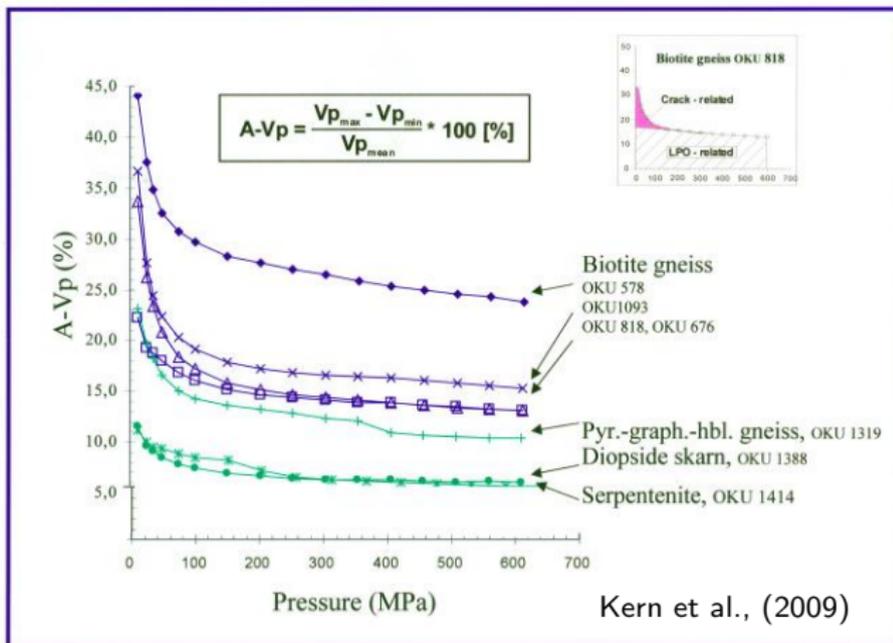
- Increase of confining pressure gives rise to a non-linear increase of P- and S-wave velocities due to progressive closure of microcracks.
- The opening of new cracks or widening of existing cracks causes decreasing P- and S-wave velocities.

# Anisotropy

## Causes of anisotropy of physical properties in geomaterials

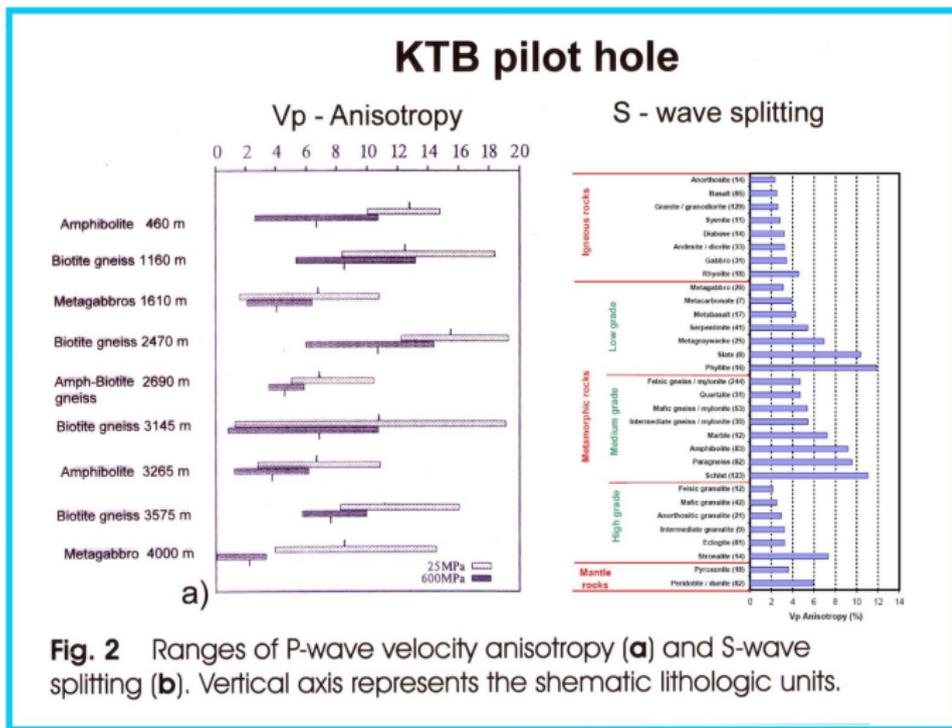
- Crystallographic preferred orientation (CPO)
- Preferred morphological or shape preferred orientation (SPO)
- Preferred orientation of (fluid-filled) microcracks
- Thin layers of isotropic material with different properties

# Sample result of anisotropy



- Anisotropy of P-wave velocities and contribution of oriented cracks and crystallographic preferred orientation (CPO) to bulk anisotropy at different pressures

# Ranges of Vp-anisotropy

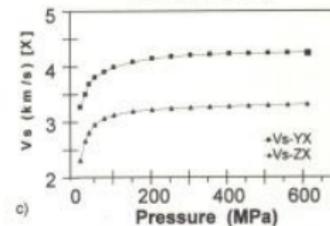
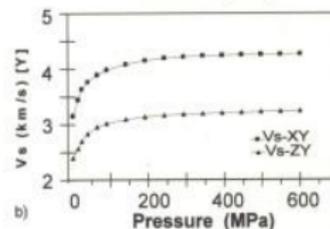
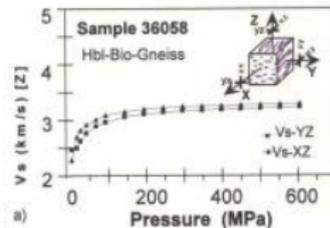
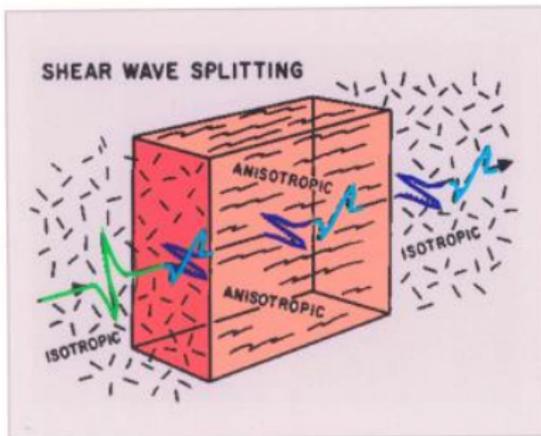


Ranges of Vp-anisotropy (Kern et al, 1991)

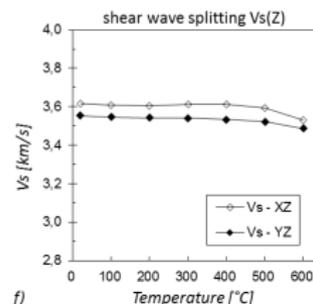
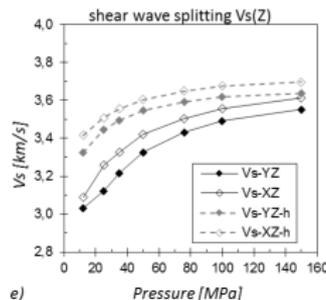
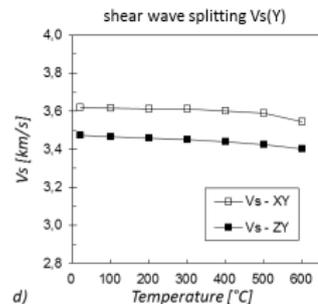
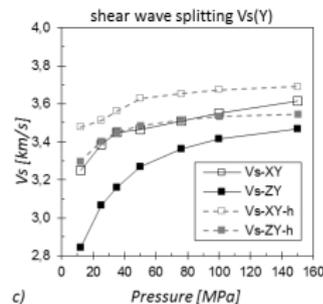
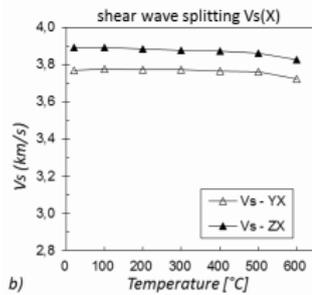
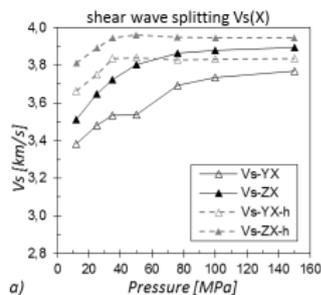
Vp-anisotropy in crustal and mantle rocks at 600 MPa (compiled by Wang, 2004)

# S-wave-splitting

## Shear-wave-splitting

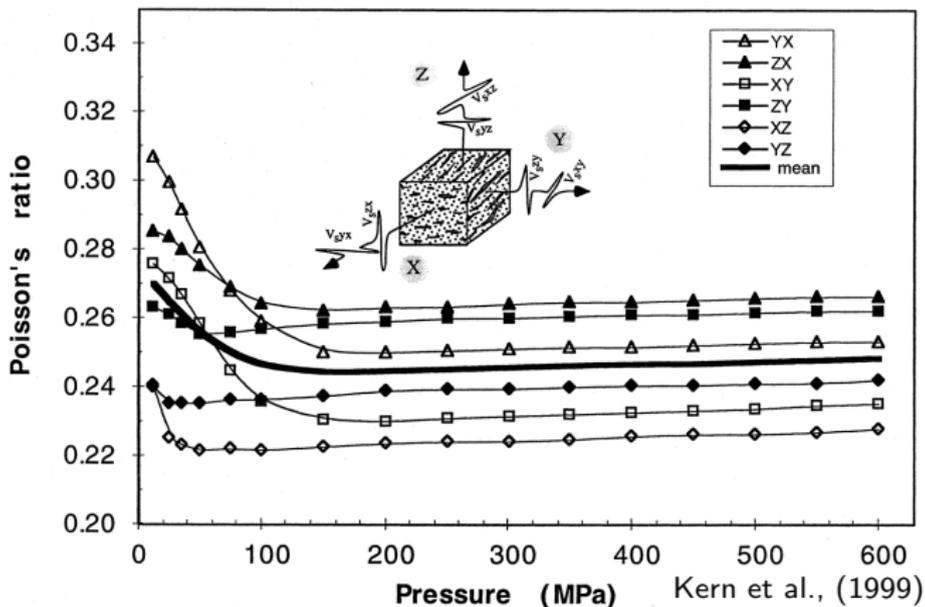


# S-wave-splitting: forward and backward



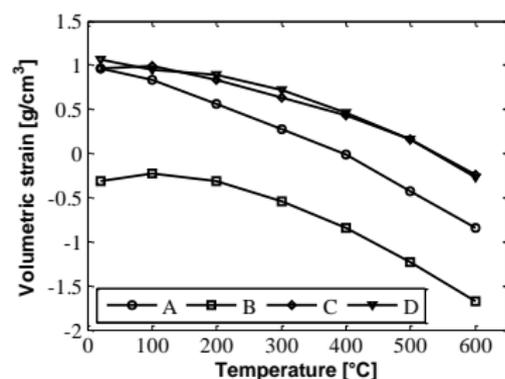
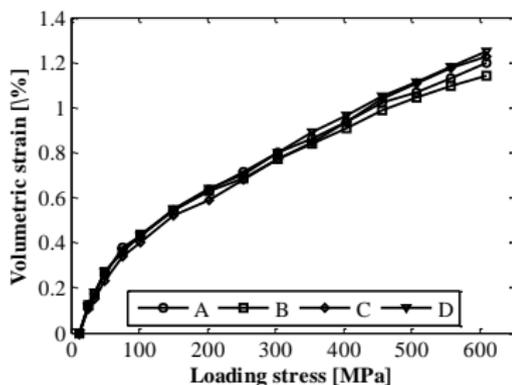
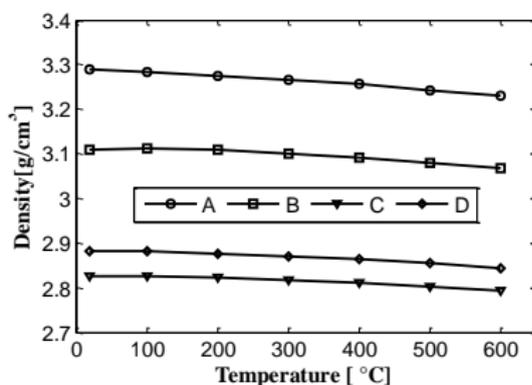
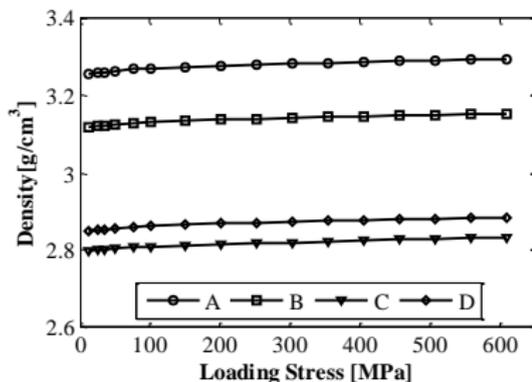
- Backward P- and S-wave velocity are larger than forward measurement, due to closure of existing (open) cracks

# Poisson's ratio



Average Poisson ratio and the variation of the Poisson's ratio with pressure regarding to direction of wave propagation and shear wave polarization.

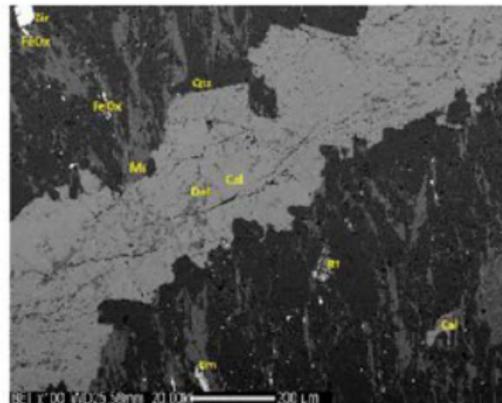
# Volumetric strain and density



# Elastic Constants: rock sample



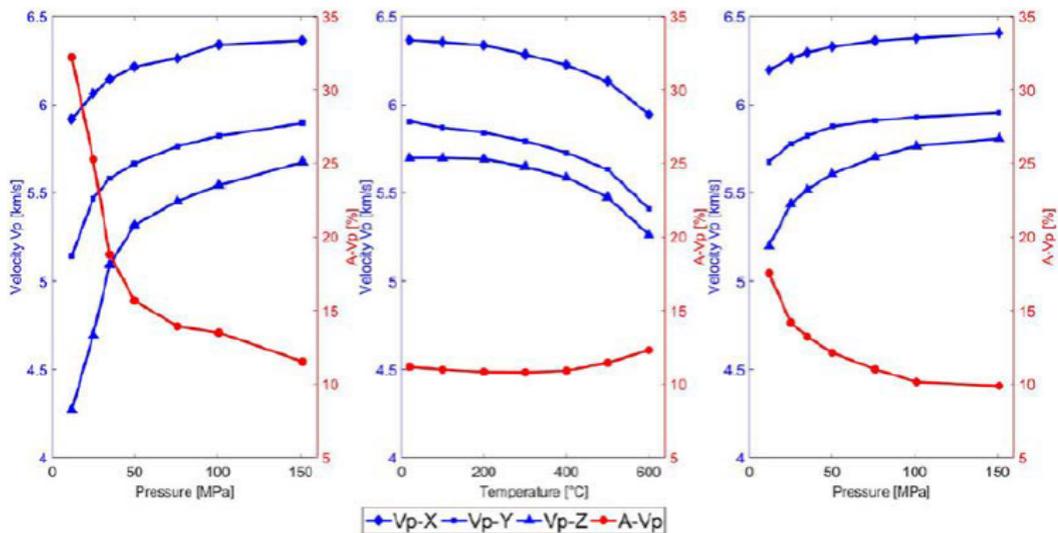
(a)



Sample depth: 3289.6-3296.2m, composed of hornblende, biotite, quartz, minor apatite, titanite and features a density of  $2.936 \text{ g/cm}^3$ , planar schistosity and strong foliation, relatively small grain sizes

Motra et al., (2018)

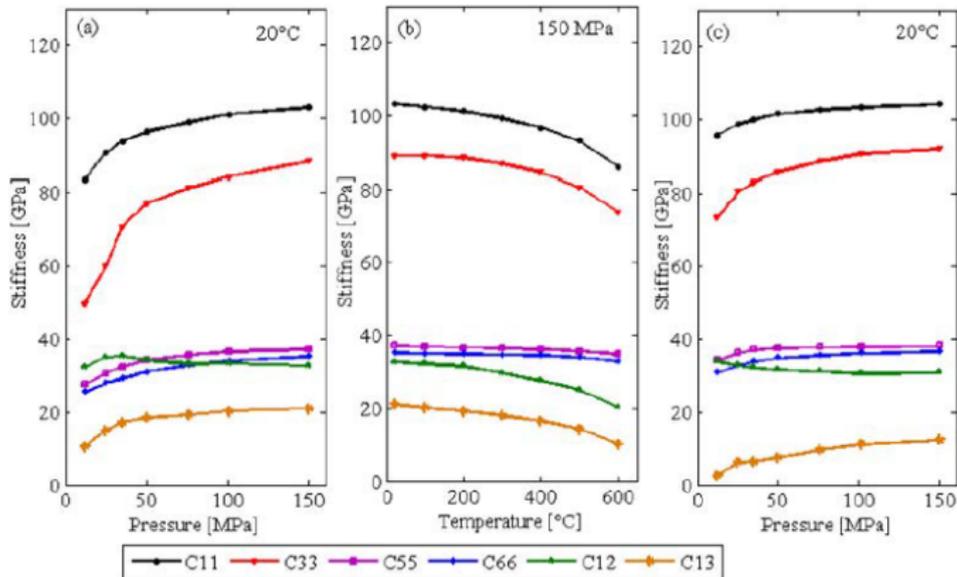
# Elastic Constants: $V_p$ and anisotropy as a function of pressure and temperature



$V_p$  wave velocities and  $V_p$  wave anisotropy as a function of loading, temperature and unloading

Motra et al., (2018)

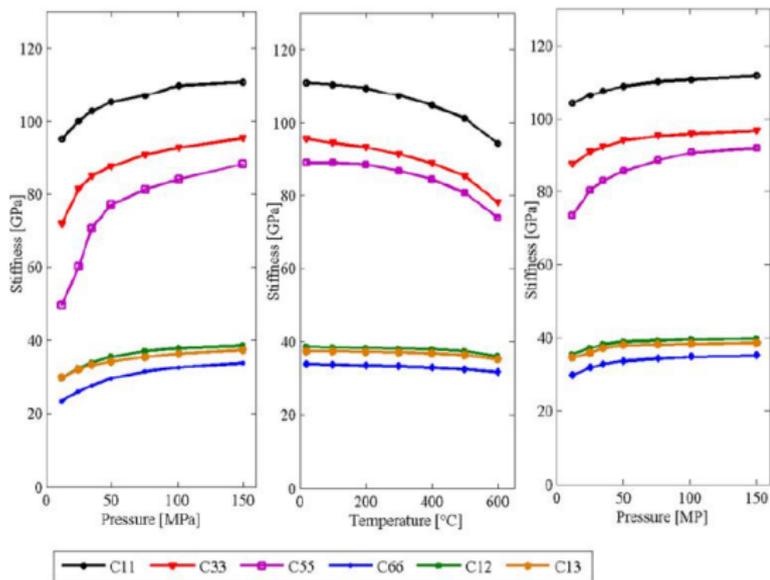
# Elastic Constants (VTI Symmetry)



Elastic Constants (VTI Symmetry, (a) as a function of pressure at constant room temperature (b) as a function of temperature at constant pressure 150 MPa (c) unloading at constant room temperature) (figure a and c look similar, mention "loading" on figure a and "unloading" on figure c)

Motra et al., (2018)

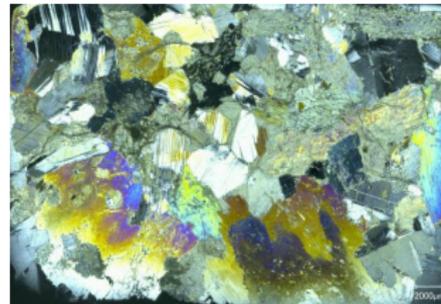
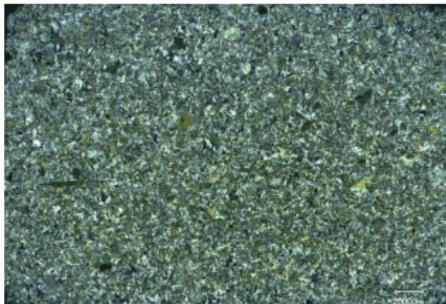
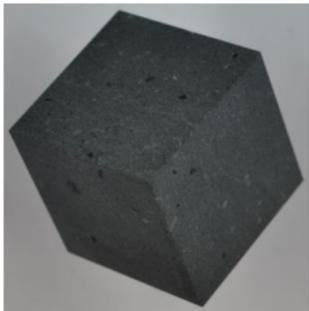
# Elastic Constants (Orthorhombic Symmetry)



Elastic Constants (Orthorhombic Symmetry, (a) as a function of pressure at constant room temperature (b) as a function of temperature at constant pressure 150 MPa (c) Unloading at constant room temperature)(mention "loaded" on figure a and "unloaded" on the figure c)

Motra et al., (2018)

# Influence of grain size (Gabbro)

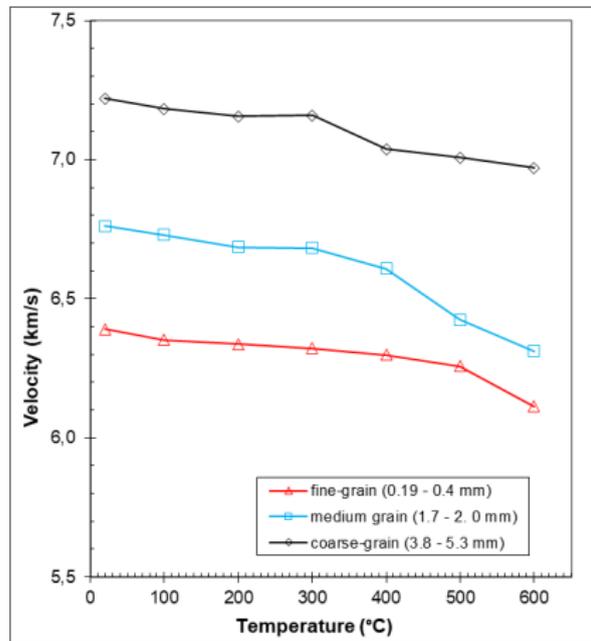
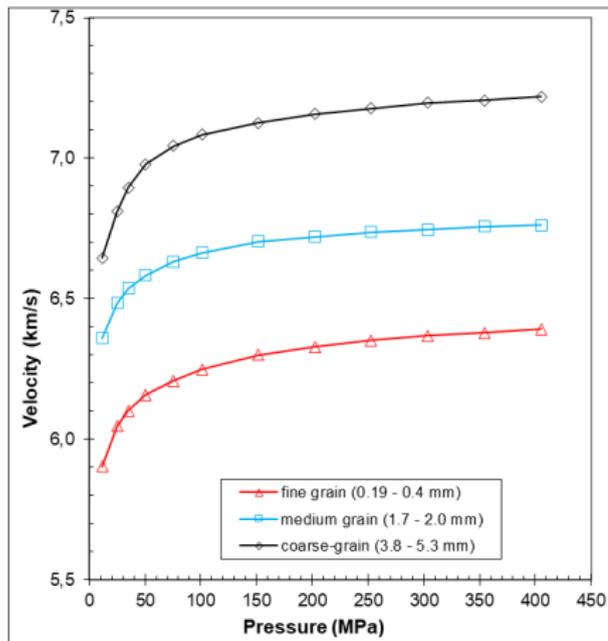


Fine grain (0.19 - 0.4 mm),

medium grain (1.7 - 2.0 mm),

coarse grain ( 3.8 - 5.3 mm)

# Influence of grain size (Gabbro)



- Porosity decrease as the grain size increases
- Decrease in mechanical properties with decreasing grain size of the materials
- Grain will influence: porosity, permeability, mineralogy and specific surface area



# Calculation of the 3D velocity distribution

## Part II

- ① Use of Elastic stiffness coefficients  $C_{ijkl}$  of the constituent minerals (from literature)
- ② Determination of model composition
  - ① Standard point counting
  - ② Microscopic image analysis
  - ③ Mass balance calculation from chemical composition of bulk rock and its constituent minerals (large volume)
- ③ Measurement of crystallographic preferred orientation (CPO)
  - ① U-stage
  - ② X-ray or neutron texture goniometry
  - ③ SEM equipped with an electron backscatter diffraction (EBSD) system

# 3D velocity calculation

- Calculation of the whole-rock (aggregate) velocities

$$V(\text{rock}) = \sum_{i=1}^n X_i V_i$$

where  $n$  is the number of minerals in the aggregate,  $X_i$  the volume fraction of each mineral, and  $V_i$  the isotropic aggregate velocity of each mineral (VRH average)

- **Model composition:** Mass balance calculation from chemical composition of bulk rock (XRF) and the constituent minerals (microprobe)
- **Isotropic aggregate velocities:** VRH averages; Literature data (Gebrande, 1982)

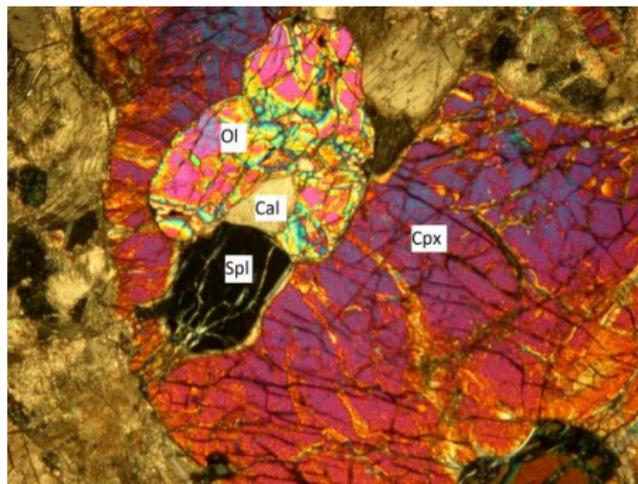




# Microscopic observation of micro-structures

## Observation: before test

- Microscopic observation of microstructures of minerals
- Minerals and chemical composition
- Microcracks

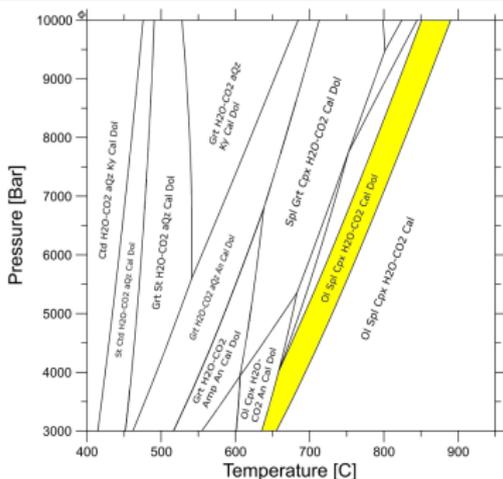


## Sedimentary rock: Minerals

- 65% Calcite, 20% Olivin, 5% Spine, 5% Dolomite, 5% Clinopyoxen, Serpentine, Mineral ores
- Density:  $2.86 \text{ g/cm}^3$

# Thermodynamic modelling

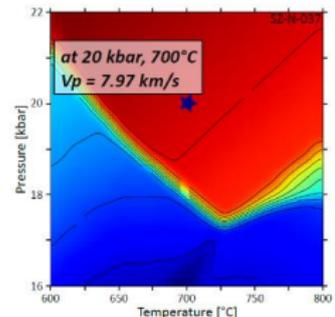
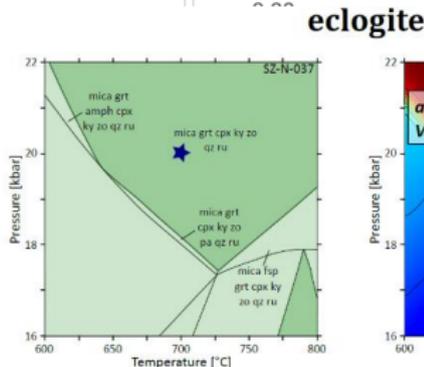
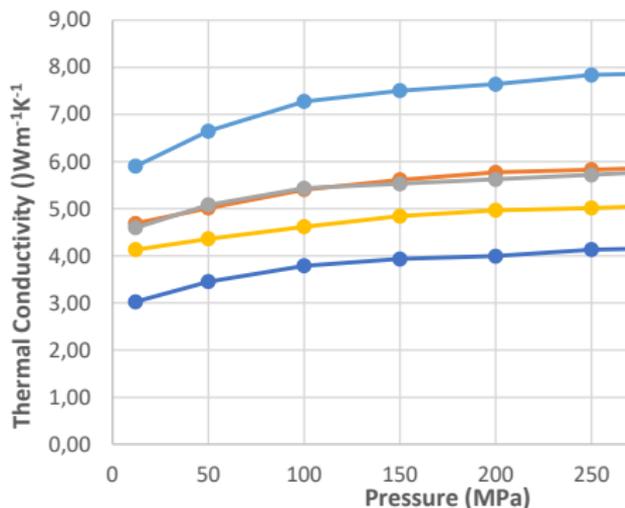
- P-T condition is realized with the free Gibbs energy minimization [Connolly and Kerrich, 2002; Connolly, 2009] algorithm
- Calculating seismic wave velocities at any pressure and temperature using classical thermodynamics equations, databases, solution models, and knowing the bulk composition of the system (The Perple-X software package)



- Mean velocity from measurement: 6.50 km/s ( $V_p$ ); mean velocity from measurement: 3.40 km/s ( $V_s$ )
- Mean velocity from modeling: 7.60 km/s ( $V_p$ ); mean velocity modeling: 4.10 km/s ( $V_s$ )



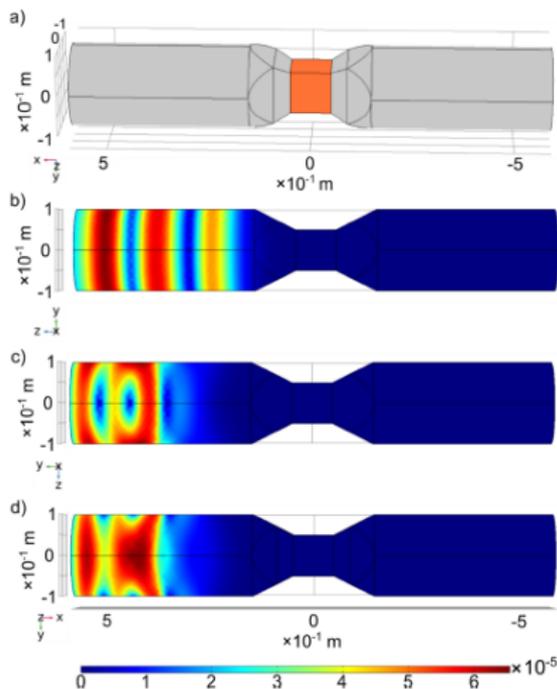
# Combining the results



- Mean velocity for granulite: 7.18 km/s ( $V_p$ ); mean velocity for eclogite: 7.98 km/s ( $V_p$ )

- Both methods provide consistent estimates of p-wave velocities
- Direct measurements additionally provide information regarding seismic anisotropy
- Thermodynamic modelling provides the opportunity to obtain velocities for a larger amount of samples

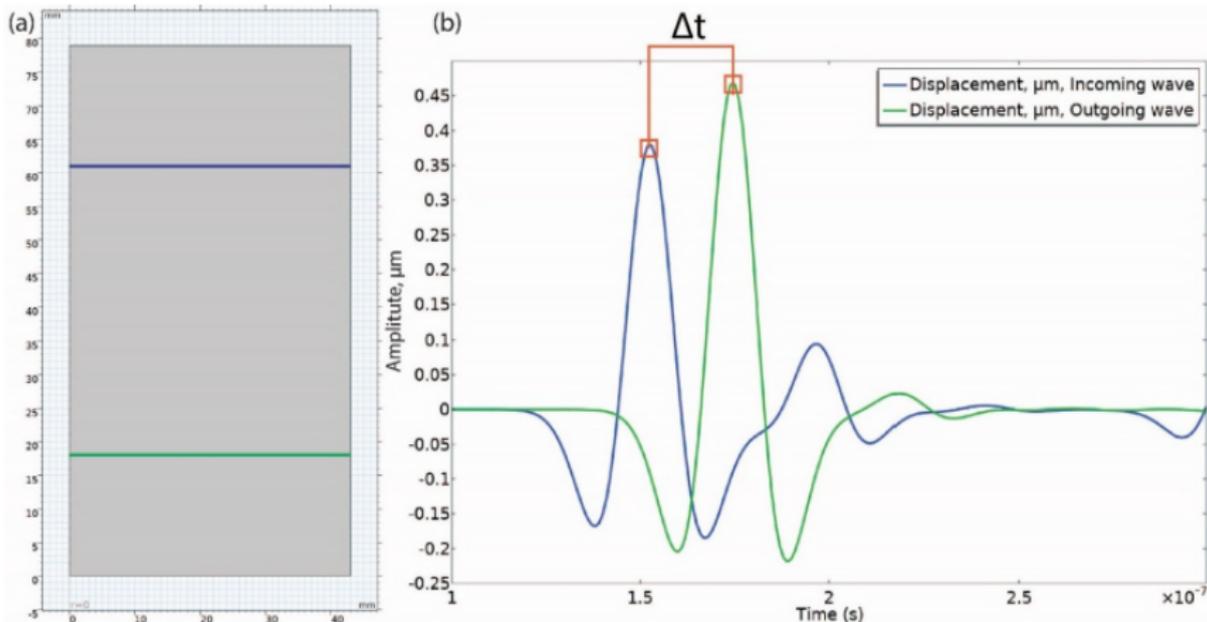
# Development of the numerical toolbox



Bazargan et al., (2022)

Numerical model of three-dimensional steel specimen; the model is based on the isotropic material behavior, (a) model geometry, (b) compressional wave result, (c) shear wave with horizontal polarization, (d) shear wave vertical polarization.

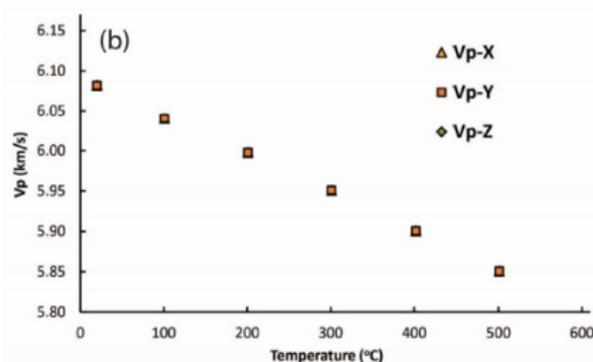
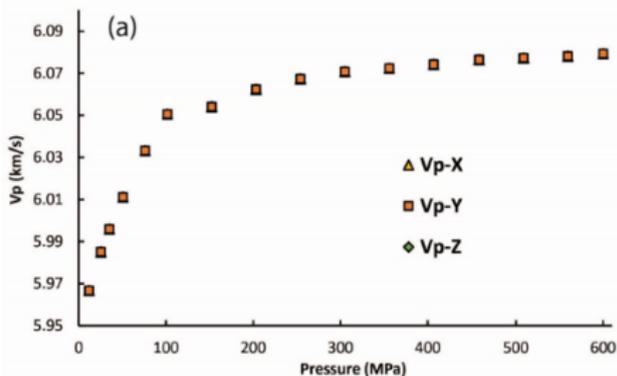
# Development of the numerical toolbox



Bazargan et al., (2022)

Illustration showing how the velocity is calculated from the incoming and outgoing waves; a) geometry of investigation and the two sensor boundaries for the elastic wave (in blue and green), b) wave form, where  $t$  is the time of flight-of-flight, determined from the peak amplitudes in the two waveforms.

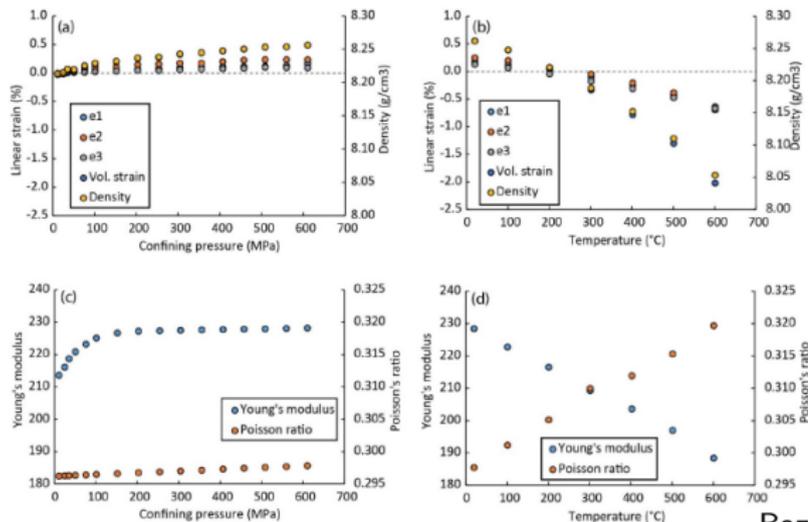
# Development of the numerical toolbox



Bazargan et al., (2022)

Vp calculations from the two- and three-dimensional numerical modeling with COMSOL, investigating the effect of a) pressure and b) temperature on the elastic wave speed. Note that the models consider the changes in linear strain, dynamic elastic moduli (Young's modulus and Poisson ratio) and density. In contrast, the Vp and Vs measurements depend on the time-of-flight of the elastic wave and the change in sample length

# Development of the numerical toolbox



Bazargan et al., (2022)

Linear strain as a function of confining pressure, along the different sample axes (e1, e2 and e3), as well as the volumetric strain; change in density is calculated based on the change in linear strain. (b) Linear strain and density as a function of temperature. (c), (d) Calculated Young's modulus and Poisson's ratio (from the mean  $V_p$  and  $V_s$ ), as a function of confining pressure and temperature, respectively.

# Summary and conclusions (Part I)

## Pressure, temperature and mineralogical effects: elastic constants

- Elastic wave velocities and elastic constants of crustal rocks at pressure, temperature and mineralogical conditions are controlled by the state of micro-fracturing, in addition to the mineral composition and the single crystal velocities of the rock-forming minerals.
- The modal mineral composition, which is a function of both, chemical composition and metamorphic grade, may control the intrinsic velocities of crustal rocks.
- Elastic constants and petrophysical characteristic of rock can be derived from the seismic wave velocities as a function of pressure and temperature
- The multi-anvil pressure apparatus provides a unique and comprehensive experimental platform for determining seismic wave velocities, shear-wave splitting, seismic anisotropy, and the full set of elastic constants of a rock sample as functions of pressure, temperature, and mineralogical composition. Notably, this apparatus enables all these measurements to be obtained from a single sample test, ensuring consistent boundary conditions and minimizing uncertainties associated with sample-to-sample variability while capturing the coupled thermo-mechanical and compositional effects on rock elastic behavior.

# Summary and conclusions (Part II)

## Numerical modeling

- Seismic anisotropy and shear wave splitting, characteristics of crustal rocks and CPO of the constituent minerals and the structural frame of the rocks (foliation and lineation).
- The calculation of the physical properties from microstructural information (crystal orientation, volume fraction, grain shape etc.) is important for rocks because it gives insight into the role of microstructure in determining the bulk properties.
- Numerical modeling using COMSOL Multiphysics and thermodynamic modeling approaches are also employed to interpret seismic wave velocity measurements and to determine the elastic constants of rocks. These models explicitly account for mineralogical composition, phase proportions, and microstructural characteristics such as grain geometry, pore structure, and crack density, enabling a more realistic representation of rock elastic behavior under varying pressure and temperature conditions.

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